www.tradehallgroup.co

TRADEHALL

Money Laundering Prevention Policy



Tradehall Ltd is a Securities Provider Licensee regulated authorised by the St. Vincent & the Grenadines.

Tradehall Ltd is committed to adhering to all applicable laws and regulations aimed at preventing the use of financial systems for money laundering and terrorist financing purposes. This policy outlines the principles and practices we implement to combat money laundering and protect the integrity of our services.

1. REGULATORY COMPLIANCE

1.1 Tradehall Ltd operates in compliance with the regulatory requirements, including but not limited to:

- Verifying client identities;
- Monitoring and reporting suspicious transactions;
- Maintaining records of transactions for at least five years;
- Providing ongoing training to staff to recognize and report suspicious activities;
- Reporting suspicious activities to the appropriate regulatory authorities.

2. CUSTOMER IDENTIFICATION PROCEDURES

2.1 We require clients to provide valid proof of identification and documentation during account opening and prior to processing any transactions. This ensures that all clients are properly identified and verified in compliance with regulatory standards.

All information provided by clients may be shared with competent authorities in:

- The jurisdiction where the company is incorporated;
- The origin country of transmitted funds;
- The destination country of withdrawn or refunded funds.

3. TRANSACTION MONITORING AND REPORTING

3.1 Tradehall Ltd actively monitors transactions to identify potential suspicious activities. The company reserves the right to:

- Refuse to process transactions that may be linked to criminal activities;
- Report all suspicious transactions to regulatory authorities without notifying the client involved.

4. STAFF TRAINING AND AWARENESST

4.1 We ensure that all employees receive comprehensive training on anti-money laundering (AML) policies and procedures. This includes identifying and reporting suspicious transactions and understanding their obligations under applicable AML laws.

5. RECORD-KEEPING REQUIREMENTS

5.1 All transaction records are securely maintained for a minimum of five years following the termination of the client relationship. These records are accessible to regulatory authorities upon request.

6. POLICY REVIEW AND UPDATES

6.1 Tradehall Ltd reserves the right to review and amend this policy periodically to ensure alignment with evolving laws and regulations. Any changes to the policy will be implemented at the company's discretion.

7. LEGAL DISCLAIMER

7.1 This policy serves as a guideline for Tradehall Ltd and is not part of the company's contractual terms and conditions. It does not impose any obligations beyond those mandated by applicable laws and regulations.

For inquiries or further information regarding our Money Laundering Prevention Policy, please contact our Compliance Department at compliance@tradehallgroup.co.

Get in Touch

+60 12 9098 4727
support@tradehallgroup.co



TradeHall Bank (Comoros) is a licensed offshore bank, authorised and regulated by the Anjouan Offshore Finance Authority (AOFA), with Licence Number L16090/TB and Company Number 16090.

TradeHall Ltd (NZ) is incorporated in New Zealand and registered on the New Zealand Financial Service Providers Register with FSP Number 1010237, NZBN 9429052645686, and Company Number 932199.

TradeHall Limited is registered and regulated by the St. Vincent & the Grenadines (Business Company No. 25908 BC 2020).