www.tradehallgroup.co

TRADEHALL

# KYC Policy



TradeHall Ltd ("TradeHall") is committed to maintaining a secure, transparent and responsible trading environment. As part of our customer onboarding and account management process, we may require customers to complete Know Your Customer ("KYC") verification.
This KYC Policy explains what information we may collect, why we collect it, and how we may use it.

## 1. WHY WE REQUIRE KYC

1.1 KYC verification helps TradeHall:

- Verify the identity of our customers;
- Protect customers and their accounts from fraud and unauthorized activity;
- Prevent the misuse of our services for illegal or fraudulent activities;
- Understand the nature and purpose of our relationship with customers; and
- Meet applicable legal, regulatory and compliance requirements.

Depending on the nature of the services provided and the customer's circumstances, additional verification may be required.

## 2. INFORMATION WE MAY COLLECTT

2.1 For individual customers, TradeHall may request information such as:

- Full legal name;
- Date of birth;
- Nationality;
- Identification document or passport;
- Residential address;
- Contact details; and
- Occupation or nature of business.

For corporate or business customers, TradeHall may request:

2.2
- Company name and registration number;
- Registered or business address;
- Company registration documents;
- Directors and authorized representatives;
- Beneficial ownership information;
- Nature and purpose of the business relationship; and
- Other information reasonably required for verification.

## 3. VERIFICATION DOCUMENTS

3.1 TradeHall may request valid identification and supporting documents to verify the information provided.

Documents may include, where applicable:

- Passport or government-issued identification;
- Proof of residential address;
- Company registration documents;
- Proof of ownership or control; and
- Other supporting documents reasonably required by TradeHall.

TradeHall may reject documents that are expired, incomplete, unclear, altered or otherwise cannot be reasonably verified.

## 4. BENEFICIAL OWNERSHIP

4.1 Where a customer is a company or other legal entity, TradeHall may require information about the individual(s) who ultimately own or control the entity. Customers are responsible for providing accurate and up-to-date beneficial ownership information.

## 5. SOURCE OF FUNDS AND ADDITIONAL INFORMATION

5.1 Depending on the customer's profile, activities or risk level, TradeHall may request additional information regarding the purpose of the account, expected activity, source of funds or source of wealth.

Customers may be required to provide supporting documents where reasonably necessary for verification or compliance purposes.

## 6. SCREENING AND RISK ASSESSMENT

6.1 TradeHall may conduct appropriate screening and risk assessments, including checks relating to sanctions, politically exposed persons ("PEPs"), fraud, financial crime and other relevant risk factors.

Customers identified as presenting higher risks may be subject to additional verification or enhanced due diligence.

## 7. ONGOING VERIFICATION

7.1 KYC is not limited to the account opening process.

TradeHall may periodically review and update customer information and may request additional or updated documents when necessary.

Customers are responsible for notifying TradeHall if any material information previously provided becomes inaccurate or outdated.

## 8. FAILURE TO COMPLETE KYC

8.1 TradeHall may refuse to open, activate or maintain an account where:

- Required information or documents are not provided;
- The customer's identity cannot be reasonably verified;
- Information provided is inaccurate, incomplete or misleading;
- The customer presents unacceptable compliance or financial crime risks; or
- TradeHall is required or permitted to take such action under applicable laws or internal policies.

Where necessary, TradeHall may restrict, suspend or terminate an account or business relationship.

## 9. USE AND PROTECTION OF INFORMATION

9.1 Information collected during the KYC process may be used for purposes including:

- Identity verification;
- Account administration;
- Fraud and financial crime prevention;
- Risk assessment and monitoring;
- Compliance with applicable laws and requirements; and
- Protection of TradeHall, our customers and our platform.

TradeHall will handle customer information in accordance with applicable privacy and data protection requirements.

## 10. CONFIDENTIALITY AND DISCLOSURE

10.1 TradeHall will take reasonable measures to protect information collected through the KYC process.

We may disclose information where necessary to comply with applicable laws, regulatory requirements, court orders, law enforcement requests, or other legitimate compliance obligations.

Where disclosure is restricted by law, TradeHall may not be able to provide further details regarding certain reviews, investigations or actions.

## 11. CUSTOMER RESPONSIBILITY

11.1 By opening or maintaining an account with TradeHall, customers agree to provide information that is accurate, complete and current.

Customers must not provide false, misleading, altered or fraudulent documents or information.

TradeHall reserves the right to take appropriate action where inaccurate or fraudulent information is identified.

## 12. POLICY UPDATES

12.1 TradeHall may update this KYC Policy from time to time to reflect changes in applicable laws, regulatory requirements, industry practices or our internal procedures.

The latest version published on the TradeHall website will apply from its stated effective date.

## 13. CONTACT US

13.1 If you have any questions regarding our KYC requirements, please contact TradeHall through our official customer support ticket.

## Get in Touch

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support@tradehallgroup.co



TradeHall Bank (Comoros) is a licensed offshore bank, authorised and regulated by the Anjouan Offshore Finance Authority (AOFA), with Licence Number L16090/TB and Company Number 16090.

TradeHall Ltd (NZ) is incorporated in New Zealand and registered on the New Zealand Financial Service Providers Register with FSP Number 1010237, NZBN 9429052645686, and Company Number 932199.

TradeHall Limited is registered and regulated by the St. Vincent & the Grenadines (Business Company No. 25908 BC 2020).